An "Appraisal" of ArchivesSpace at TSLAC

Susan Floyd, Accessions Archivist Anna M. Reznik, Archivist Rebecca Romanchuk, Team Lead, Archives



How did we choose **ArchivesSpace**?

- Archivists' Toolkit[™] capstone project
- Complications with IT needs
- ArchivesSpace as solution?
 - Approval process
 - IT support
 - Preservica connection





Who has been involved?

Assistant Director for Archives (Laura Saegert) **Electronic Records Specialist** (Brian Thomas) **ITS** Division Team Lead, Archives (Rebecca Romanchuk) Archivist (Anna Reznik) **Accessions Archivist** (Susan Floyd) Archivist staff (5 additional)



Where was our data?

- Hard copy, MS Word, Access, Excel
 - Accession logs
 - Locations database

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Description (types, dates, forms

Report to the Governor, 1948-1951

(includes 16 8x10 black and whit photographs)

Senator's legislative working files, 74th-83rd Legislatures, 1996-2014 (includes 8 VHS videocassettes, 2

audio cassettes, 1 DVD, 54 3.5-inch floppy disks) [Restricted]

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Assistive and Rehabilitative Services

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Where was our data?

<head>Location of Originals</head>

General Land Office.

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- HTML (appraisal reports)
- EAD/XML (finding aids)
- Sirsi (MARC)

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Records Appraisal Report: Historical Commission

Contents of this report Agency Contact | Agency History | Project Review | Record Series Reviews

Internal links to series reviews Legislative appropriation requests Agency rules, policy and procedure manuals Meeting files **Organization charts** Correspondence - Administrative Policies and procedures manuals Speeches (Exec. Dir.) County files State agencies **Commission members - Official** Meeting files of Trust Fund associated boards Agency building plans and specifications Texas Preservation Trust Fund Program, development documentation Architectural awards files Endangered Historic Properties Needs Assessment Files County courthouse reviews/files [County courthouse files —] Plans/maps/drawings State grant files — Texas Historic Preservation Grant Program and/or plans/maps/drawings Federal grant files — Historic Preservation Fund Grants-in-Aid and/or plans/maps/drawings **Texas Preservation Trust Fund Grant Program** [Texas Preservation Trust Fund Grant Program —] Plans/maps/drawings

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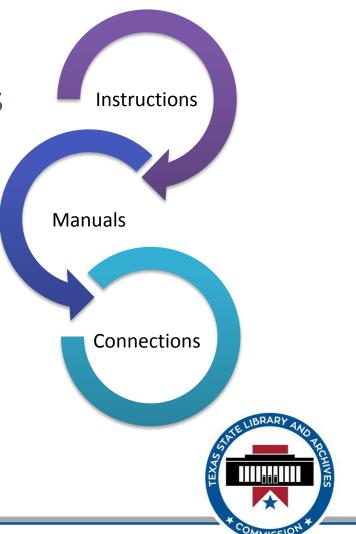
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Instructions and Workflows

- Accessioning instructions
- Appraisal report instructions
- Comprehensive how-to manual
- Connections to previous manuals and procedures
- Connections among new ArchivesSpace instructions



Instructions and Workflows

Accessioning process documents

Accessioning Manual Archives and Information Services Division Texas State Library and Archives Commission

Written by Paul Beck 1995

Revised by Nancy Enneking, October 1999: July 2003 Revised by Rebecca Romanchuk, 2005, 2010, 2012, 2013 Revised by Rebecca Romanchuk and Tony Black, April 2015 Revised by Rebecca Romanchuk, February, June 2016 Revised by Susan Floyd, September 2016, April 2017, August 2017, November 2017, March 2018

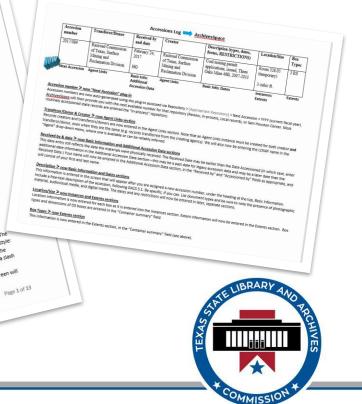
Table of Contents 10 11 10 01

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Gift books	
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ArchivesSpace Instructions

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- appear where the record can continue to be edited to describe the materials t Archival Review or any other materials that require review for archival value.
- How to create a new state agency or manuscripts accession entry Go to the cog drob-down list and under Pluse'ns choose Next Accession.
 In the Next Accession window that opens, enter the current fiscal year and click submit. The window will refresh with the next available accession number, which will access in this style: In the Next Accession window that opens, enter the current fixed year and click submit. The window will refresh with the next available accession number, which will appear in this style: www.imm Go to Repository and select Zavala.
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Instructions and Workflows

• Appraisal process instructions

The Compleat

Archivist's

Appraisal Companion

Carobyn Foster, February, 1995 azsistance provided by Laura Saegert, Paul Beck, and Toroy Bioto Second Edition, February, 1993 Carobyn Foster, April, 199 Carobyn Foster, May 200 ArchivesSpace Appraisal Instructions

Appraisal Resource Records

General commentary

ArchivesSpace does not have a module dedicated to creating appraisal reports. To meet TSLAC's desire to create appraisal reports in ArchivesSpace, it was determined that the "Resources" module worked better for our needers than the "Accession" module. Unless an inhouse styleneet is created in the future, information <u>entered</u> into the "Resources" module will export in the same order as an EAD finding aid. For this reason, the display of information in the report differs from the order found in the Records Series Review form.

The <u>Appraisal Mapping xisx</u> spreadsheet acts as a cross-reference between the Word Record Series Review Form and data entry in ArchivesSpace.

How to create a new appraisal entry

- 1. Go to the Repository and select Review.
- Locate the desired accession record through Browse.
 Open a new tab in your preferred browser.
- In the new tab, go to Create and select Resource

Required field

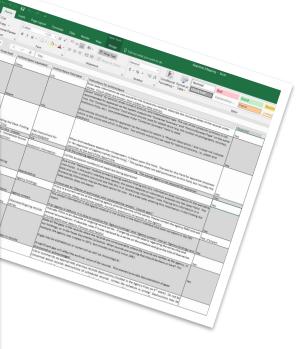
Basic Information

- Title: Use creator name (agency, organization, family, person) followed by a description of the nature of the materials, as instructed by DACS 2.3.
- *Identifier: The identifier for materials that have not been accessioned is styled YYYY/### in first field. Add review as the suffix in the second field to display the identifier as YYYY/###-review.

The identifier for backlog appraisals is "TX######" in the first field, "appraisal" in the second field, and a three-digit numeric code. Start with 001. The identifier displays as TX######-appraisal-###.

Level of Description: Select "Series" for government records. Select "Collection" for most
manuscript appraisals.

Page 1 of 15





Lessons Learned



Image: Thomas Hawk on Flickr, Creative Commons license https://www.flickr.com/photos/thomashawk/



Lessons Learned

- Importance of thinking through: functions desired, existing data and systems feeding in, and data clean-up
- Opportunity to streamline legacy data and inefficient systems to create new workflows
- Useful to roll out in chunks, not all at once



Benefits

- Centralized data
 - One-stop shop (eventually) for main categories of administrative data and holdings description
 - Data clean-up and reconciliation
 - Repurposing data more efficiently
- More data entry early in processes: added value
- Multi-point access and editing
- Easier collaboration
- Standardized reporting (PDF)



Appraisal in **ArchivesSpace**





The "Old" Way

Record Series Review

Series Title:

Archivist: [staff member conducting the appraisal and date]

Agency: [and division, department, program]

Agency Holdings and Contact:

Obsolete or ongoing record series?

Description:

Purpose:

Agency Program:

Arrangement:

Access Constraints:

Use Constraints:

Indexes or finding aids required for/or an aid to access?

Problems:

Known related records in other agencies:

Previous destructions:

Publications based on records:

Internet pages based on records:

Series data from agency schedule: or Equivalent series from state Records Retention Schedule: Title:

Series item number: Agency item number: Archival code: Retention: **Texas Documents Collection holdings:**

Archival holdings of related records:

Gaps in the records:

Appraisal Evaluation and Recommendation:

Final appraisal decision: (to be added after the report has been reviewed by the appraisal committee)



Appraisal in ArchivesSpace

Browse - Create - Sea	rch All Records Q	~	neview 🗘 -	0
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Assessment Module?

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	Catalog Record (N	Finding Aid (paper onl	y) 🔲
	Collection/Contr	Finding Aid (wo	
	Deed	Gift 🔲 Finding Aid (spreadsheet	et)
		Related EAC Record(S) 🔲
	Surveyed By *	👤 Anna Reznik	× •
		Type to search available records	
	Survey Begin _* Date	2017-11-21	
	Survey Completed Date	iii	
	Time it took to Complete Survey		
	Extent Surveyed	2 cubic ft.	TEX45

OMMISS10

Accessions Module?

Possible exceptions include audit working papers (Texas Government Code, 552.116).

Home / Accessions / Texas Commission on Environmental Quality Chief Auditor's Office audit reports

Apply? Publish?

Access

Access

Restrictions?

Restrictions Note

True

True

Basic Information	Edit		View Published	Add Event -	Spawn -	Transfer -	More 🗸
Dates							
Extents		nmission on Environ	mental Qua	ality Ch	nief A	uditor'	S
Agent Links	Office aud	it reports					
Related Resources							
Instances	Basic Inform	nation					
Additional Accession Data							
Assessments	Title	Texas Commission on Environmental Q	uality Chief Auditor's Off	ice audit repo	orts		
	Identifier	2018/041					
	Received Date	2013-01-11					
	Content Description	Internal audit reports, before 2006					
	Retention Rule	Chief Auditor's Office audit reports are schedule. The archival code is "A" afte schedule, audits created after 2006 are	r an AC+7 retention. Acc				
	General Note	Two boxes are slightly larger than RS a	and materials are laying f	ilat; rehousing	is recomm	ended.	
	Acquisition Type	Transfer					
	Resource Type	State records					
	Restrictions	True					



Resources Module?

- Advantages
 - Easier to export report for comment
 - Notes section largely captured "must-have" information
 - Template to assist with data entry
- Disadvantages
 - Lack of familiarity with Module
 - Order of notes in PDF version
 - Module primarily for finding aids



Results

Texas State Library and Archives Commission Archives and Information Services Division Record Series Review

Series Title: Reports, Studies, and Surveys - Fort Hancock

Archivist: Anna M. Reznik, Spring 2017

Agency: Texas Low-Level Radioactive Waste Disposal Authority

Agency Holdings and Contact: None, agency is defunct.

Obsolete record series? Yes.

Replaced by: The Texas Low-Level Radioactive Waste Disposal Authority (TLLRWDA) was abolished on September 1, 1999 by House Bill 2954 (76th Texas Legislature, Regular Session).

Description:

Records document environmental monitoring data collected by TLLRWDA contactors, which was used to select a location near Fort Hancock in 1990. Records include observational and experimental data, analytical reports and test results, drawings, topographic maps, site characterization analyses, correspondence, working files and reports, contracts, field notebooks, specific work instructions, core logs, spreadsheets, activity summaries, aerial photographs, calibration read-outs, resumes, and magnetic media, dating 1917, 1920, 1933-1991, bulk 1983-1989. Most records dating prior to 1983 are topographic maps analyzed in 1983 during phase II of the site characterization process.

Subjects reflected include quality assurance activities undertaken to ensure consistent and reliable data, collection of observation data (such as humidity and flood risk) and experimental data (such as composition of cores or chemical analysis of samples), data calculations (especially in regard to changes over time), the effect of a 1991 court injunction preventing TLLWRDA to progress on the Fort Hancock site, suitability of an area for a low-level radioactive waste disposal site, drill activity in West Texas, pros and cons of various waste management designs, and potential solutions to problem statements. Majority of the records were created by consultants providing engineering and environmental services. The primary consultants reflected are University of Texas – Bureau of Economic Geology, Dames & Moore, Rogers and Associates Engineering Corporation, and <u>Ebasco</u>, Services Incorporated.

Extent is 21 cubic ft. Note all boxes are underfilled, so the actual extent of this series is less than 21 cubic ft.

STATE LIBRARY AND PROT

Results

Texas State Library and Archives Commission Archives and Information Services

Division **Record Series Review**

Basic Information

Finding Aid Data

Agent Links

Subjects

Related Accessions

External Documents

Rights Statements

Deaccessions

Classifications

Collection Management

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0

20 >

0

Dates

Extents

Series Title: Reports, Studies, and Surveys - Fort Ha

Archivist: Anna M. Reznik, Spring 2017

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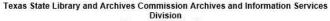
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Extent is 21 cubic ft. Note all boxes are underfilled, so the actual extent of this series is less than 21 cubic ft.

Votes	Add Note Apply Standard	d Note Ord	er
	Processing Information - Appraised by Anna M. Reznik. Spring 2017	Expand	×
	Immediate Source of Acquisition - Accession Information Accession under appraisal is 1999/194.	Expand	×
	Accruats - Agency holdings None, agency is defunct and no other records are expected.	Expand	×
	Custodial History - Agency contact None. Agency is defunct.	Expand	×
	Custodial History - Obsolete records series The Texas Low-Level Radioactive Waste Disposal Authority (TLLRWDA) was abolished on September 1, 1999 by House Bill 2954 (76th Texas Legislature, Regular Session).	Expand	×
	Custodial History - Gaps in the records None known.	Expand	×
	Custodial History - Previous destructions None known.	Expand	×
	Scope and Contents As required by TLLRWDA's enacting legislation, the agency's main charge was to locate and manage a site to dispose of the state's low-level radioactive waste. To be able to accept low-level	Expand	×
	Biographical / Historical - Agency History After World War II, technological advances in medicine, research, energy production, and manufacturing caused an increase in low-level radioactive waste generation. This waste contaminated machinery	Expand	×
	Arrangement - Organization Records are organized into four series. Quality Assurance records, 1985, 1987-1991, bulk 1989-1991, 12 cubic ft. Maps, 1917, 1920, 1933-1984, bulk 1983, 4 cubic	Expand	×
	Conditions Governing Access - Conditions governing access Possibly excepted information includes home addresses and home phone numbers of state officials (Texas Government Code, Section 552 117); third-party information (Texas Government Code, Section	Expand	×



Results



Record Series Review

Basic Information

Finding Aid Data

Agent Links

Subjects

Instances

Deaccessions

Classifications

Related Accessions

External Documents

Rights Statements

Collection Management

Additional Accession Data

Dates

Extents

0

0

0

20

Series Title: Reports, Studies, and Surveys - Fort Ha

Archivist: Anna M. Reznik, Spring 2017

Agency: Texas Low-Level Radioactive Waste Disposal Authority

Agency Holdings and Contact: None, agency is defunct.

Obsolete record series? Yes.

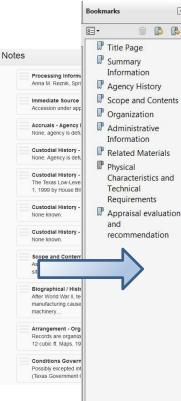
Replaced by: The Texas Low-Level Radioactive Waste Disposal Auth abolished on September 1, 1999 by House Bill 2954 (76th Texas Legislature,

Description:

Records document environmental monitoring data collected by TLLRWE was used to select a location near Fort Hancock in 1990. Records inclui experimental data, analytical reports and test results, drawings, top characterization analyses, correspondence, working files and repo notebooks, specific work instructions, core logs, spreadsheets, activit photographs, calibration read-outs, resumes, and magnetic modia datis 1991, bulk 1983-1989. Most records dating prior to 198.

Subjects reflected include quality assurance activities undertaken to er reliable data, collection of observation data (such as humidity and flood ri data (such as composition of cores or chemical analysis of sample (especially in regard to changes over time), the effect of a 1991 court i TLLWRDA to progress on the Fort Hancock site, suitability of an area for a waste disposal site, drill activity in West Texas, pros and cons of various designs, and potential solutions to problem statements. Majority of the i by consultants providing engineering and environmental services. The primary consultants reflected are University of Texas – Bureau of Economic Geology, Dames & Moore, Rogers and Associates Engineering Corporation, and Ebasco Services Incorporated.

Extent is 21 cubic ft. Note all boxes are underfilled, so the actual extent of this series is less than 21 cubic ft.



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An Apprnical of Texas Low-Level Radioactive Waste Dispotal Anthonity seports, studies, and surveys - Fort Hancock, TX005937 appraisal.001

Appraisal evaluation and recommendation

Records reflect TLLRWDA's early site development activities. These records are data TLLRWDA used to select a site near Fort Hancock for the state's low-level radioactive waste disposal site. Records in this series document an era in the agency's history that is underpresented in the other TLLRWDA series.

Though the legislature redefined the site selection criteria in 1991, records in this series review would have been reused in the second site selection process. Some of these records include labels that indicate that they were copied in response to discovery requests by the Texas Natural Resource Conservation Commission and Sierra Blanca Legal Defense Fund during the license application process. Unlike discovery documentation in the *litigation* series, records in this series review are originals and are not segregated.

The Quality Assurance records and Conceptual design records series include working files and the resulting report. The Map series consist of working files used to eliminate areas that did not meet the siting criteria. These working files may have been used to biref others on the site selection process. Working files include observational and experimental data as well as information regarding the collection of this data (such as equipment, software equations, and specific work instructions used). Observational data cannot be recreated, and experimental data is likely difficult to reproduce. The latter is especially true if the sample used for testing no longer exists. Though final versions of reports exist in the files, the working files include supplementary information that is not fully documented in the final reports and later publications. This may be because the project was broadened after the 1991 court injunction. For these reasons, majority of records under review are archival.

Note that all four Ebasco Services Incorporated reports can be found in the Texas Documents Collection. As these records are already cataloged in the Texas Documents Collection, the one cubic ft. of reports in this series review do not need to be kept.

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Final decision

The Quality Assurance records, Maps, and Conceptual design records document early efforts to site a low-level radioactive facility and include information that cannot be reproduced. As the siting characterization process was as key function of the agency, records are to be kept.

The *Ebasco Services Incorporated reports* duplicate publications found in the Texas Documents Collection, therefore the reports in the reviewed records will not be kept.

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Staff Response

- Increased standardization
- Reports created more quickly and look more "polished"
- Easier collaboration on team appraisal
- Appraisal work visible and easier to track



What's Next for TSLAC?

- Deaccessioning
- Finding aids (creation and import)
- Full locations and container type functionality





Who in SSA is using **ArchivesSpace**?

TEXAS TECH UNIVERSITY

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OMMISS10

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SSA Region ArchivesSpace Community

- Interest?
- What would it look like and how would it operate?
- Communications forum
- Documentation sharing



Collaborative Google Workspace

https://bit.ly/2IA4b0w

SAA 2018 ArchivesSpace session public folder on Google Drive

